# Reaching the Gold Standard

Evaluating tobacco policies in K-12 schools and institutions of higher education using a practical assessment tool

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Skill Building Workshop AEA 2011 Anaheim, CA





#### Presentation outline

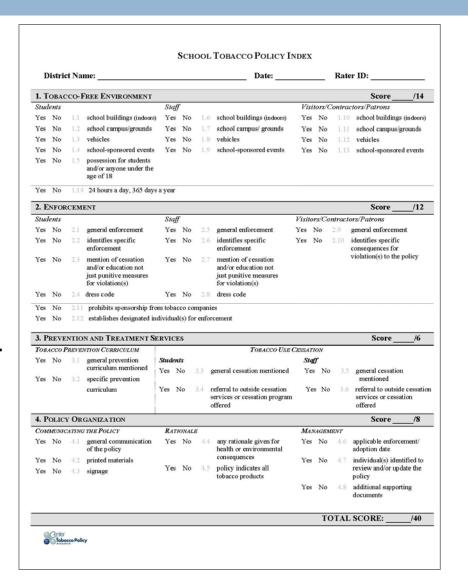
- Introduction
- Evaluating Policy Change
  - School Policy & Higher Education Rating Tools
    - Policy Language
    - Collection Process
    - Interpreting Results
    - Successes & Challenges
- Skill Building
  - Comprehensive Policy
- Next Steps
  - Using the tools to inform policy
  - Tool development paper
  - Other Uses

# Introduction

#### Introduction

- The Center for Tobacco Policy Research (CTPR)
- The Communities Putting Prevention to Work grant
- Importance of tools
- Workshop Goals

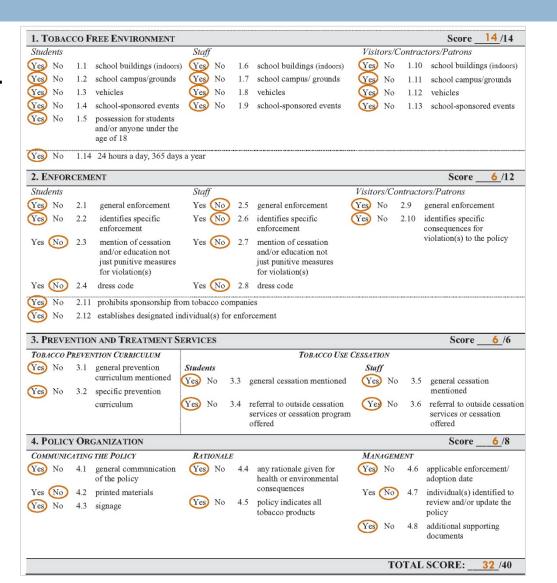
- Overview
- Collection Process
- Focuses on 4 domains
  - Tobacco-Free Environment
  - Enforcement
  - Prevention and Treatment Services
  - Policy Organization



#### Using the Tool

1. TOBACCO FREE ENVIRONMENT Score 12/14								
Students Staff					Visitors/Contractors/Patrons			
Yes No	1.1	school buildings (indoors)	Yes No	1.6	school buildings (indoors)	Yes No	1.10	school buildings (indoors)
Yes No	1.2	school campus/grounds	Yes No	1.7	school campus/ grounds	Yes No	1.11	school campus/grounds
Yes No	1.3	vehicles	Yes No	1.8	vehicles	Yes No	1.12	vehicles
Yes No	1.4	school-sponsored events	Yes No	1.9	school-sponsored events	Yes No	1.13	school-sponsored events
Yes No	1.5	possession for students and/or anyone under the age of 18						
Yes No	1.14	24 hours a day, 365 days	a year	·#•1401-11414-11				

Final Assessment



# Comprehensive Tobacco Free Policy

- Must cover all tobacco products at all times for all populations, everywhere
- Must be enforced
- Prevention education and cessation services must be offered
- Must be communicated to the community

## Tobacco Free Environment (TFE)

- Overview
  - This domain measures the extent to which the school's buildings, grounds, vehicles, and events are tobacco free.
  - Groups: Students, Staff, and Visitors
- Reasons for low scores in the TFE domain overall:
  - Lack of provisions for employees and visitors
  - The inclusion of designated smoking areas on school grounds
  - School-sponsored events not included in provisions

#### Tobacco Free Environment

- Example of a policy that is <u>not</u> comprehensive
  - "The District shall be a smoke-free environment. Smoking or the use of smokeless tobacco products is not permitted within District buildings. In an area so designated, smoking may be permitted outside of District building. Students who possess or use tobacco products on district grounds, district transportation or at district activities will be disciplined in accordance with board policy."

#### **Tobacco-Free Environment**

- Example of a 100% comprehensive policy
  - "...[T]he district prohibits all employees, students and patrons from smoking or using tobacco or tobacco products in all school facilities, buildings, school transportation, other district transportation and on all school grounds at all times, including athletic events and meetings...Students who possess or use tobacco products on district grounds, district transportation or at district activities will be disciplined in accordance with Board policy."

- Overview
  - This domain measures the extent to which the policy is enforced.
  - Groups: Students, Staff, and Visitors
- Reasons for low scores in the Enforcement domain overall:
  - Lack of specific enforcement
  - Lack of provisions for employees and visitors
  - Sponsorship from tobacco companies is not prohibited
  - No individual established to enforce the policy
  - No prohibition of tobacco insignia on clothing

- Example of a policy that is <u>not</u> comprehensive
  - "Possession and/or use of...tobacco products...may result in suspension and/or expulsion."

- Example of a policy that is 100% comprehensive
  - Persons found in violation of this policy will be referred to the building principal and/ or appropriate staff supervisor. Employees and students violating the tobacco policy will be subject to the following procedures: First offense: a warning and cessation referral will be issued ...Second offense...Visitors...will be informed of the school district policy...If the visitor(s) does not comply, they will be asked to leave. If they refuse this request, the police may be called.
  - Tobacco promotional items...are not permitted on district grounds, in school vehicles, or at school-sponsored events. This includes clothing worn by students, staff, and visitors that advertises tobacco products.
  - Sponsorship from any tobacco industry affiliate will not be accepted.

#### Prevention and Treatment Services

- Overview
  - This domain measures the presence of prevention, education, and cessation services in the policy.
  - Groups: Students and Staff

- Reasons for low scores in the Prevention domain overall:
  - No specific tobacco prevention curriculum identified
  - Referrals to cessation services not offered

#### Prevention and Treatment Services

- Example of a policy that is <u>not</u> comprehensive
  - "Through the curriculum, assemblies, and other programs, students are made aware of the dangers of smoking."

### Prevention and Treatment Services

- Example of a 100% comprehensive policy
  - "Tobacco use prevention and education programs will be provided through the **health education curriculum...**lessons will teach students about the dangers of smoking and using other tobacco-related products and how to resist social influences to smoke. Additional smoking prevention programs will be offered...The District will encourage employee and student efforts in smoking cessation and referrals will be available to interested employees and students seeking information about smoking cessation programs in the immediate area... [including] the American Cancer Society, American Heart Association, American Lung Association...local hospitals, and medical groups."

# Policy Organization

#### Overview

 This domain measures the rationale for instituting the policy, the management of the policy, and the extent to which the school communicates the policy.

#### Reasons for low scores:

- Policy did not indicate all tobacco products
- No specific channels for communicating the policy were identified
- Adoption/Enforcement date was not present
- No individual identified to review/update policy

## Policy Organization

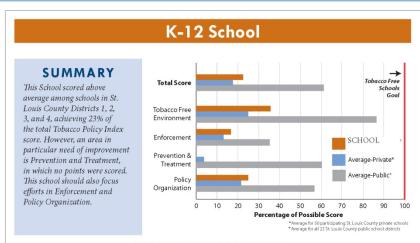
- Example of a policy that is <u>not</u> comprehensive
  - "Given the adverse effect that smoking can have on a person's health, the school has agreed on a non-smoking policy. Our policy, established in 2007, ensures a healthy working and learning environment for all.
    - Policy Implementation: Members of the school staff were given a copy of the policy. Parents have been apprised of the school's non-smoking policy. Members of the [school] staff shall inform school visitors of the policy. Sign and notices are posted in prominent areas throughout the school premises.
    - Monitoring and Review: The school smoking policy will be monitored at least once per year by the school's administrative team. The policy will be reviewed, and if appropriate, revised."

## Policy Organization

- Example of a 100% comprehensive policy
  - "To promote the health and safety of all students and staff and to promote the cleanliness of district property... For the purpose of this policy, smoking will mean all uses of tobacco products including but not limited to: cigars, cigarettes, pipes, and smokeless tobacco items. The superintendent or designee is authorized to make necessary rules and procedures to clarify, enact, and enforce this policy. This policy will be printed in both the employee and student handbooks. Signage prohibiting use of all tobacco products shall be posted in highly visible places both inside and outside all schools within the district..."
  - Please refer to the following polices for additional information:
    - POLICY A
    - POLICY B
  - Effective Date: February 14, 2011

## Interpreting Results

- School Profiles
  - Areas to address
  - Comparisons
  - Recommendations
  - Tobacco free schools goal



#### RECOMMENDATIONS

To better protect students, staff, and visitors from the adverse health effects of tobacco use and secondhand smoke, this School should make the following revisions to its current policies:

#### **TOBACCO FREE ENVIRONMENT**

- Prohibit tobacco use by students in vehicles and tobacco use by staff on the campus/grounds, in vehicles, and at school-sponsored events. Currently, staff may smoke on campus, but not within sight/ smell of students.
- Prohibit tobacco use by visitors in school buildings, on the campus/grounds, in vehicles, and at school-sponsored events.
- State that the core tobacco policy is in place 24 hours a day and 365 days per year.

#### ENFORCEMENT

- Identify specific consequences for violations of the tobacco policy by staff and visitors.
- Include education and referral to cessation as consequences in staff/student disciplinary documents.
- Ban tobacco advertising and/or sponsorship.
- Establish an individual to enforce the disciplinary consequences of the core tobacco policy.
   "Administration" is not specific.

#### PREVENTION & TREATMENT SERVICES

- Implement a tobacco prevention curriculum that covers specific tobacco topics.
- Provide cessation services or referrals to staff and students who want to quit using tobacco products.

#### **POLICY ORGANIZATION**

- Establish signage and printed materials to communicate the tobacco policy.
- Provide a rationale for why tobacco use is banned on school premises.

# Skill Building Workshop

Part 1 – School Tobacco Policy Index

## Objectives

- Learn the important components of comprehensive policy language
- Learn how to use the school policy rating tool
- Learn how to interpret the results of the policy assessment

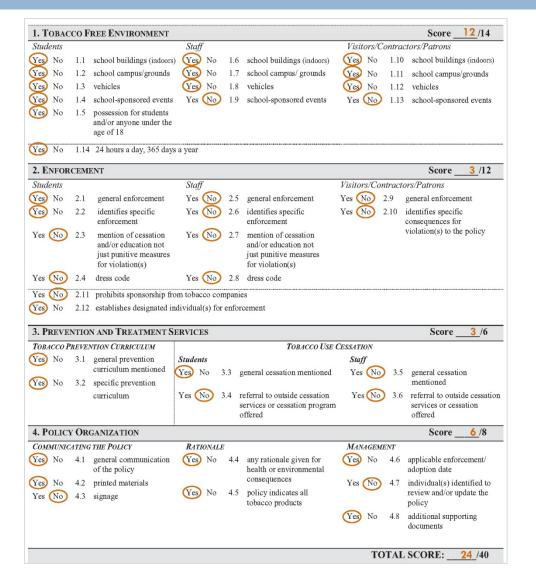
## Helpful Rating Tips

- Rate only what you see. Do not infer meaning.
- Keep the manual close by and refer to it as needed
- Note that if no population is explicitly listed, code for all populations

# Comprehensive Tobacco Free Policy

- Must cover all tobacco products at all times for all populations, everywhere
- Must be enforced
- Prevention education and cessation services must be offered
- Must be communicated to the community

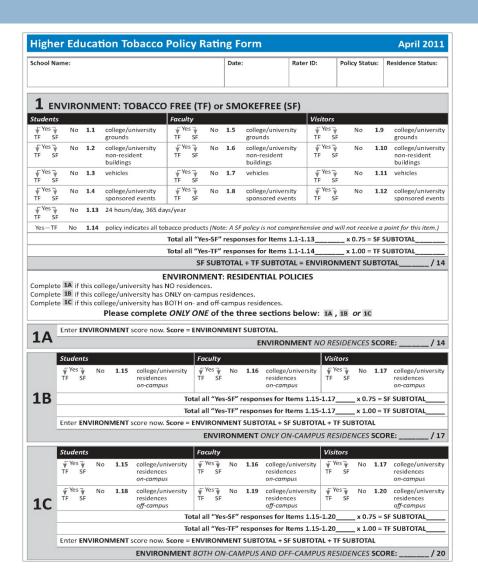
# Skill-Building - School Tool



# Higher Education Tobacco Policy Rating Tool

# Higher Education Tobacco Policy Rating Tool

- Overview
- Collection Process
- Focuses on 5 domains
  - Environment
  - Enforcement
  - Prevention and Treatment Services
  - Organization & Communication
  - Promotion of Tobacco Products



#### Overview

- This domain measures the extent to which the institution's grounds, vehicles, events, and non-resident and resident buildings are TF or SF.
- Groups: Students, Staff, and Visitors

- Reasons for low scores in this domain overall:
  - Lack of provisions for employees and visitors
  - Policies did not prohibit all tobacco products
  - Tobacco use was not prohibited on campus grounds
  - Lack of provisions for tobacco use in vehicles and at college/university sponsored events (both on and off campus)
  - Tobacco use not restricted at all times

- Example of a policy that is <u>not</u> comprehensive
  - "All campus buildings are smoke free, including private offices as well as common areas and residence halls. Smoking is permitted only outside University buildings. Smoking is prohibited within 30 feet of building entrances."

- Example of a 100% comprehensive policy:
  - Tobacco use is prohibited at all times for students, staff and visitors, on all campus grounds, non-resident buildings, on- and off-campus residence halls, in all vehicles on campus property and in any vehicle owned/leased/rented by the institution. Tobacco use is also prohibited at university sponsored events both onand off-campus.

- Overview
  - This domain measures the extent to which the policy is enforced.
  - Groups: Students, Staff, and Visitors

- Reasons for low scores in the Enforcement domain overall:
  - Lack of specific enforcement
  - Lack of provisions for employees and visitors
  - Only punitive measures were listed for policy violations
  - No individual is designated for enforcement
  - Lack of policies to prohibit possession for individuals under the age of 18

- Example of a policy that is <u>not</u> comprehensive
  - "Violations of this policy may result in disciplinary action."

### Enforcement

- Example of a 100% comprehensive:
  - Persons found in violation of this policy will be referred to the Office of Student Affairs and or Human **Resources.** Employees and students violating the tobacco policy will be subject to the following procedures: First offense: a warning and cessation referral will be issued ...Second offense: a \$50 fine and cessation referral...Visitors...will be informed of the school district policy...If the visitor(s) does not comply, they will be asked to leave. If they refuse this request, the police may be called.
  - Possession of tobacco products is prohibited for anyone under the age of 18

### Prevention and Treatment Services

- Overview
  - This domain measures the presence of prevention, education, and cessation services in the policy.
  - Groups: Students and Staff

- Reasons for low scores in the Prevention domain overall:
  - Education/Prevention services for tobacco non-use were not offered
  - Lack of cessation for tobacco-use dependence

### Prevention and Treatment Services

- Example of a policy that is <u>not</u> comprehensive
  - "The university will offer tobacco use prevention workshops and counseling."

### Prevention and Treatment Services

- Example of a 100% comprehensive policy:
  - "Tobacco use prevention and education programs will be provided through the courses offered on the topic and campus events. For more information related to the risks of tobacco use, please visit <a href="www.cdc.gov/tobacco">www.cdc.gov/tobacco</a>. The University will provide cessation for tobacco use dependence through the Freedom from Smoking program available to employees and students.

### Overview

 This domain measures the rationale for instituting the policy, the management of the policy, and the extent to which the school communicates the policy.

- Reasons for low scores:
  - Specific channels for communicating the policy were not clear
  - Rationale for health or environmental consequences not evident
  - A definition of tobacco products was not provided
  - No enforcement date listed
  - No one identified to review/update the policy

- Example of a policy that is <u>not</u> comprehensive
  - "Signs that identify [this university] as a smoke-free campus will be placed at all campus entrances and near marked visitor parking spaces."

- Example of a 100% comprehensive policy:
  - "To promote the health and safety of those that visit the university... For the purpose of this policy, tobacco products are smoke and smokeless tobacco products, including but not limited to cigars, cigarettes, e-cigarettes, oral tobacco... The University President or designee is authorized to make necessary changes to rules and procedures to clarify, enact, and enforce this policy. This policy will be printed in both the employee and student handbooks. Signage prohibiting use of all tobacco products shall be posted in highly visible places both inside and outside all buildings within the campus property..."
  - Effective Date: April 13, 2010

### Promotion of Tobacco Products

- Overview
  - This domain measures the extent to which the college/university prohibits tobacco related sales, advertising, and funding.

- Reasons for low scores:
  - Lack of a policy "just known that we don't do these things"

### Promotion of Tobacco Products

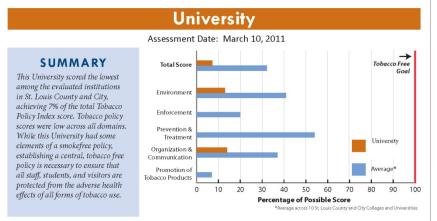
- Example of a policy that is <u>not</u> comprehensive
  - "The free distribution of tobacco products on University property is prohibited.
  - No tobacco-related advertising or sponsorship shall be permitted on University property, at University-sponsored events, or in publications produced by the University."

### Promotion of Tobacco Products

- Example of a 100% comprehensive policy:
  - "The University prohibits the sale and distribution of tobacco related products and merchandise on campus grounds and at campus events.
  - Tobacco related advertising and sponsorship at campus events, on campus property and in publications produced by the university is also prohibited.
  - The University also prohibits tobacco industry support of institution research."

## Interpreting Results

- College/University Profiles
  - Areas to address
  - Comparisons
  - Recommendations
  - Tobacco free college/university goal



### RECOMMENDATIONS

To better protect students, faculty/staff, and visitors from the adverse health effects of tobacco use and secondhand smoke, this University should consider making the following revisions to its current policies:

### ENVIRONMEN.

- Prohibit tobacco use by students, faculty/staff, and visitors on campus grounds, resident and non-resident buildings, in vehicles, and at institution-sponsored events. Currently, the policy indicates that resident buildings are smokefree.
- State that the tobacco policy is in place 24 hours per day and 365 days per year.
- Policy should prohibit all tobacco products.

### ENFORCEMENT

- Identify specific consequences for violations of the policy by students, faculty/staff, and visitors.
- Include education and referral to cessation as consequences for faculty/staff and student policy violations.
- Designate an individual to enforce the disciplinary consequences of the policy.
- Prohibit the possession of tobacco products by minors.

### PREVENTION & TREATMENT SERVICES

- Offer and promote prevention/education services or initiatives that support non-use and address the risks of tobacco use.
- Provide cessation services or referrals to faculty/staff and students who want to quit using tobacco products.

### **ORGANIZATION & COMMUNICATION**

- Develop signage and printed materials to communicate the policy and outline communication methods in the policy.
- Provide a comprehensive definition of tobacco products and a rationale for prohibiting tobacco on institution premises.
- Include an applicable enforcement or adoption date for the policy.
- Designate an individual to review and update the policy.

### PROMOTION OF TOBACCO PRODUCTS

- Prohibit the sale and distribution of tobacco products on campus property and at institution-sponsored events.
- Prohibit tobacco advertising and sponsorship on campus property, at institution-sponsored events, and in college and university publications.
- Refuse tobacco industry support of research, evaluation, teaching, and development activities.

# Skill Building Workshop

Part 2 – Higher Education Tool

## Objectives

- Learn the important components of comprehensive policy language
- Learn how to use the higher education policy rating tool
- Learn how to interpret the results of the policy assessment

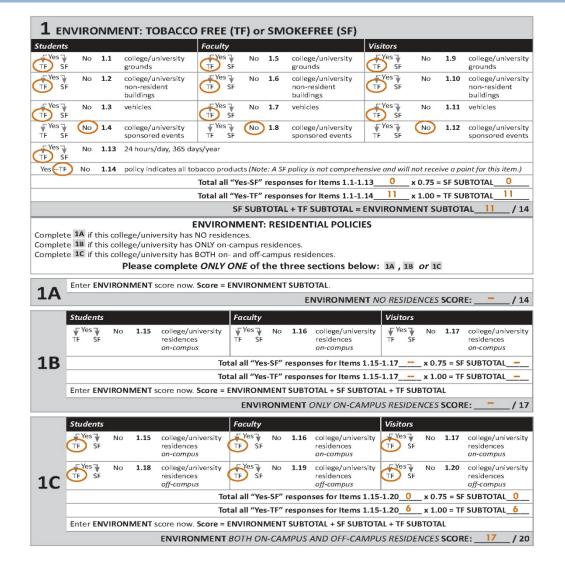
## Helpful Rating Tips

- Rate only what you see. Do not infer meaning.
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## Comprehensive Tobacco Free Policy

- Must cover all tobacco products at all times for all populations, everywhere
- Must be enforced
- Prevention education and cessation services must be offered
- Must be communicated to the community
- Must have provisions against the promotion of tobacco products on campus

### Skill-Building — Higher Education Tool 1



## Skill-Building — Higher Education Tool 2

Students				Faculty					Visitors			
Yes	No	2.1	general enforcement	Yes	No	2.5	general enforcement	Yes	No	2.9	general enforcemen	
Yes	No	2.2	identifies specific enforcement	Yes	No	2.6	identifies specific enforcement	Yes	No	2.10	identifies specific enforcement	
Yes	No	2.3	mention of cessation and/or education, not just punitive measures for violation(s)	Yes	No	2.7	mention of cessation and/or education, not just punitive measures for violation(s)					
Yes	No	2.4	establishes designated individual(s) for enforcement	Yes	No	2.8	establishes designated individual(s) for enforcement					
Yes	No	2.11	prohibits possession for	anyone	under t	he age	of 18					

revention/Education Initiatives			Cessation-Students				Cessation–Faculty/Staff				
Yes	No	3.1	prevention/ education services or initiatives for tobacco non-use offered	Yes	No	3.2	general cessation for tobacco-use dependence	Yes	No	3.4	general cessation for tobacco-use dependence
				Yes	No	3.3	specific cessation for tobacco-use dependence	Yes	No	3.5	specific cessation for tobacco-use dependence

Commi	ommunicating the Policy				Rationale				Management			
Yes	No	4.1	general communication of the policy	Yes	No	4.4	any rationale given for health or environmental consequences	Yes	No	4.6	applicable enforcement or adoption date	
Yes	No	4.2	policy references printed/online materials	Yes	No	4.5	provides definition of tobacco products	Yes	No	4.7	individual(s) identified to review and/or update the policy	
Yes	No	4.3	policy references signage									

## Skill-Building — Higher Education Tool 3

dverti	dvertising/Sponsorship				Sales/Distribution				Tobacco Industry Funding			
Yes	No	5.1	prohibits tobacco- related advertising & sponsorship at college/ university events	Yes	No	5.4	prohibits the sale and distribution of tobacco- related products & merchandise at college/university- sponsored events	Yes	No	5.6	prohibits tobacco industry support of college/university activities including research, evaluation teaching & development	
Yes	No	5.2	prohibits tobacco- related advertising & sponsorship on college/ university property	Yes	No	5.5	prohibits the sale and distribution of tobacco- related products & merchandise on college/university property					
Yes	No	5.3	prohibits tobacco- related advertising & sponsorship in publications produced by college/university									

	т	OTAL SCORE
Α	College/University with <b>NO RESIDENCES:</b> (Add scores from Sections <b>1A + 2 + 3 + 4 + 5</b> )	/ 43
В	College/University with <b>ONLY ON-CAMPUS RESIDENCES:</b> (Add scores from Sections <b>1B</b> + <b>2</b> + <b>3</b> + <b>4</b> + <b>5</b> )	/ 46
С	College/University with <b>BOTH ON- AND OFF-CAMPUS RESIDENCES:</b> (Add scores from Sections <b>1C + 2 + 3 + 4 + 5</b> )	<u>33</u> / 49

# Next Steps

- Using the Tools to inform policy
- Tool development paper
- Other Uses

### Questions?

### For more information please contact:

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